

Lecture 2

Introduction/Overview II

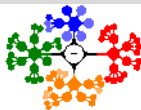
Lecture Information

Ceng328 *Operating Systems* at February 23, 2010

Dr. Cem Özdoğan
Computer Engineering Department
Çankaya University

[Introduction/Overview](#)

Dr. Cem Özdoğan



[Evolution of Operating Systems, Computer-System Architecture and Operating-System Structure](#)

Mainframe Systems

Batch and Multiprogrammed Systems

Time Sharing

Personnel Computers and Single-Processor Systems

Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems

Multiprocessor Systems; Distributed Systems - Loosely coupled systems

Real-Time Embedded Systems

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[Operating-System Operations](#)

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File-System Management

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I/O Systems

6 Protection



Evolution of Operating Systems, Computer-System Architecture and Operating-System Structure I

- **The Operating System Zoo:** Mainframe OSs, Server OSs, Multiprocessor OSs, Personal computer OSs, Handheld OSs, Embedded OSs, Sensor node OSs, Real-time OSs, Smart card OSs,...



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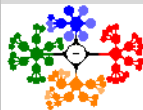
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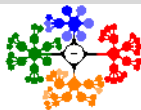
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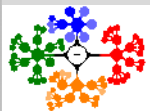
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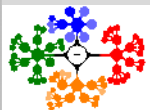
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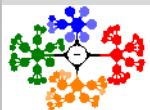
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 - Third generation (1965 - 1980), ICs and multiprogramming
 - Fourth generation (1980 - present), personal computers
 - Next generation ??, personal digital assistants (PDA), information appliances

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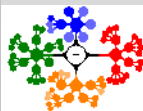
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Mainframe Systems

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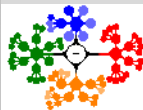
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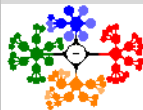
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Figure: An IBM 704 mainframe.

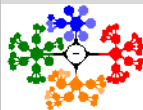


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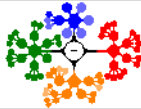
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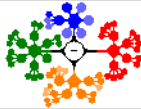
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- Single operator/programmer/user runs and debugs interactively. No resource coordination.
- Poor *throughput* (like amount of useful work done per hour) and poor *utilization* (keeping all devices busy). Inefficient use of hardware.



Batch and Multiprogrammed Systems I

- *Batch.* Group of jobs submitted to machine together.

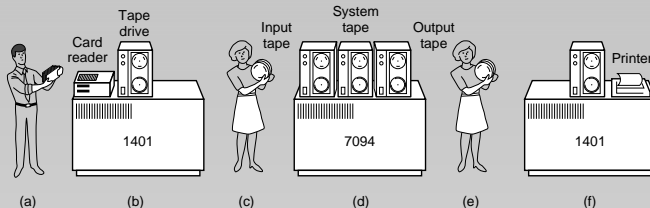


Figure: An early batch system. (a) Programmers bring cards to 1401. (b) 1401 reads batch of jobs onto tape. (c) Operator carries input tape to 7094. (d) 7094 does computing. (e) Operator carries output tape to 1401. (f) 1401 prints output.

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- The operator grouped all of the jobs into various batches with similar characteristics (all the quick jobs might run, then the slower ones, etc.) before running them as one at a time (See Fig. 2).

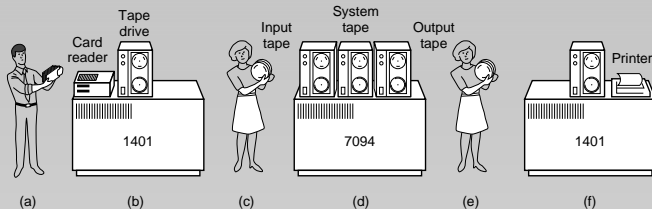
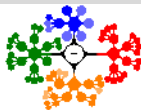


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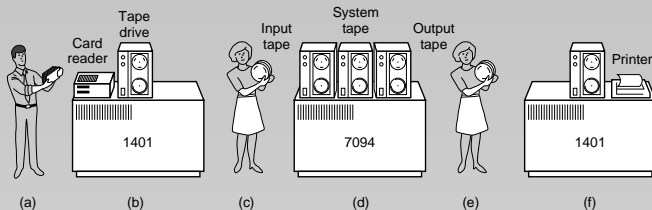
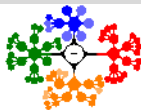


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- **Result: Improved system throughput and utilization, but lost interactivity.**

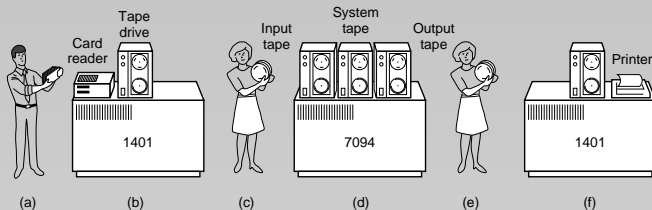
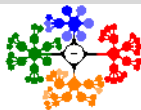


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- *Multiprogramming*. Overlap I/O with execution by providing pool of ready jobs. A number of programs were resident in memory and the CPU could choose which one to run (see Fig. 3right).

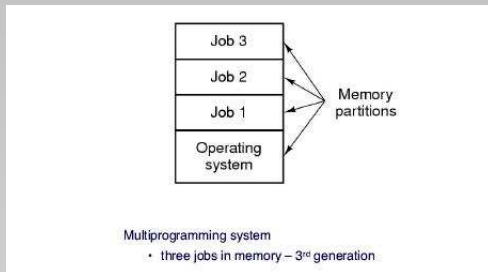
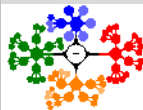


Figure: Left: Memory layout of a simple batch. Right: A multiprogramming system with three jobs in memory.



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- Multiprogramming increases CPU utilization by organizing jobs (code and data) so that the CPU always has one to execute.

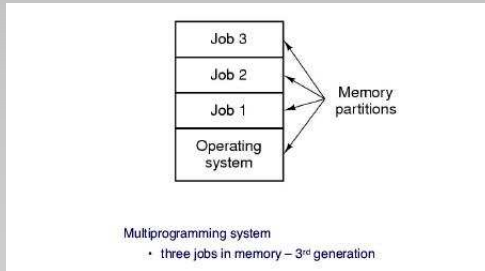
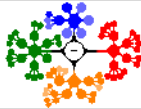


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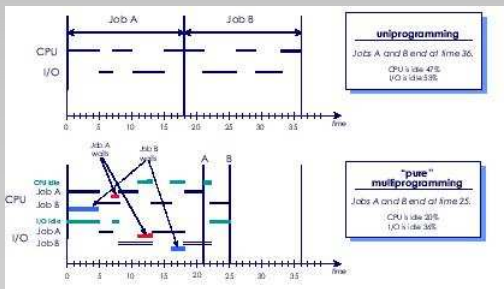


Figure: Job Interleaving



Batch and Multiprogrammed Systems III

- A single user cannot, in general, keep either the CPU or the I/O devices busy at all times (uniprogramming, see Fig. 4upper).
- One way is the CPU would move onto the next program ready to be run (pure multiprogramming, see Fig. 4lower).

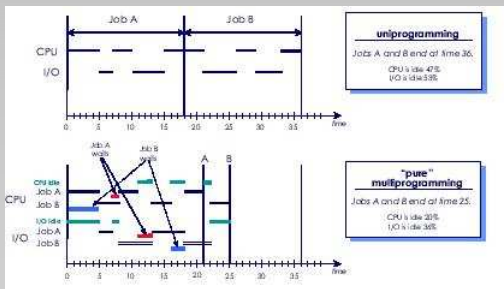


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- **Improves throughput and utilization. New OS functionalities evolved. Buffering, Direct Memory Access (DMA), interrupt handling, job scheduling policies, memory management and protection. Still not interactive.**

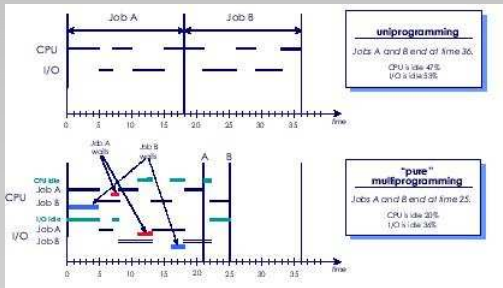


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- The CPU switches to the next job that can be run whenever the current job enters a wait state or after the current job has used a standard unit of time.
- A time-shared OS uses *CPU scheduling and multiprogramming* to provide each user with a small portion of a time-shared computer.



Time Sharing II

- Time-sharing and multiprogramming require several jobs to be kept simultaneously in memory. Processes are swapped in and out of main memory to the disk.



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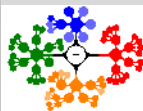
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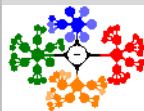
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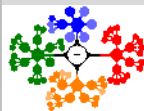
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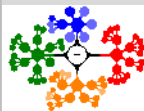
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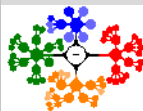
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- When the OS selects a job from the job pool, it loads that job into memory for execution. Having several programs in memory at the same time requires some form of **memory management**.
- In addition, if several jobs are ready to run at the same time, the system must choose among them. Making this decision is **CPU scheduling**.



Time Sharing III

- Time-sharing systems must also provide a file system. The file system resides on a collection of disks; hence, disk management must be provided.



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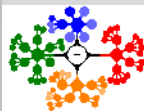
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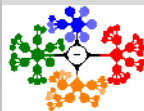
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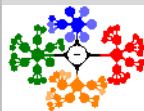
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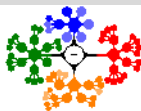
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- The OS will lock itself into memory and then control CPU allocation priority in order that it never be blocked from running.

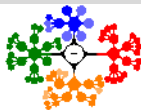


Personnel Computers and Single-Processor Systems I

- Single-user, dedicated. Previously thought as individuals have sole use of computer, do not need advanced CPU utilization, protection features (see Fig. 5).



Figure: IBM PC XT.



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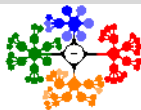
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Personnel Computers and Single-Processor Systems I

- Single-user, dedicated. Previously thought as individuals have sole use of computer, do not need advanced CPU utilization, protection features (see Fig. 5).
- **Not still true. May run several different types of OS (Windows, Mac OS X, UNIX, Linux) which offer multitasking and virtual memory on PC hardware.**



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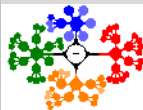


Personnel Computers and Single-Processor Systems II

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Introduction/Overview

Dr. Cem Özdoğan



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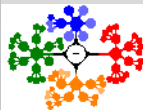
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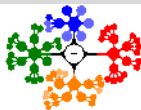
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- This arrangement relieves the main CPU of the overhead of disk scheduling.
- The use of special-purpose microprocessors is common and does not turn a single-processor system into a multiprocessor.
- **If there is only one general-purpose CPU, then the system is a single-processor system.**

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- The desire for increased throughput has led to system designs in which multiple streams of processing occurs in parallel.

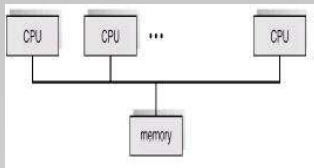


Figure: Left: Tightly coupled system. Right: The Cray-2, the world's fastest computer from 1985 to 1989.



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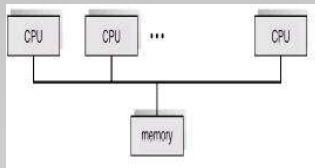
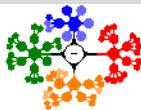


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- **Communication usually takes place through the shared memory. (see Fig. 6left)**

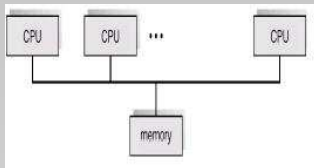
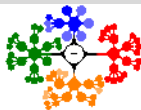
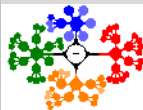


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Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems II

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Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems II

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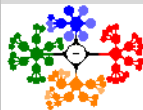
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 - 3 *Increased reliability.* If functions can be distributed properly among several processors, then the failure of one processor will not halt the system, only slow it down. If we have ten processors and one fails, then each of the remaining nine processors can pick up a share of the work of the failed processor. Thus, the entire system runs only 10 percent slower, rather than failing altogether.



Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems III

- The multiple-processor systems in use today are of two types.

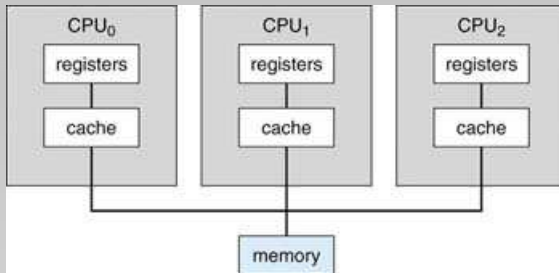


Figure: Symmetric multiprocessing architecture.



Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems III

- The multiple-processor systems in use today are of two types.
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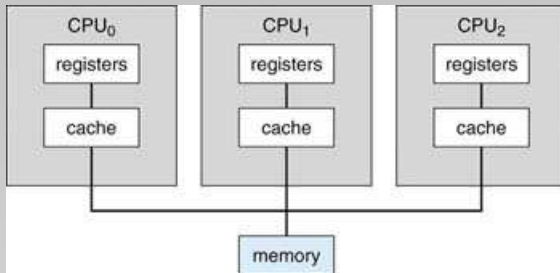
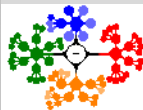


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- 2 The most common systems use *symmetric multiprocessing (SMP)*, in which each processor performs all tasks within the OS. SMP means that all processors are peers.

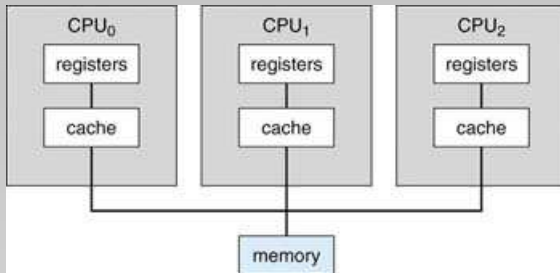
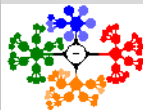


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Multiprocessor Systems; Parallel Processing Systems - Tightly coupled systems IV

- Virtually all modern OSs-including Windows, Windows XP, Mac OS x, and Linux-now provide support for SMP.



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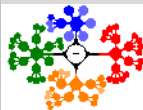
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- A recent trend in CPU design is to include multiple compute cores on a single chip (see Fig. 8). In essence, these are multiprocessor chips.

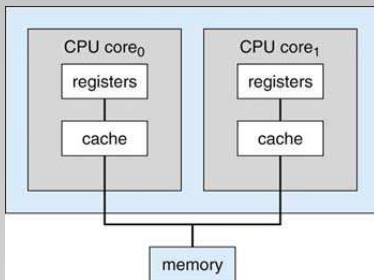
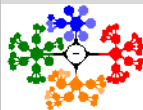
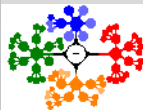


Figure: A Dual-Core Design.



Multiprocessor Systems; Distributed Systems - Loosely coupled systems I

- While these tightly coupled systems require specialized hardware support in order that the CPUs can share the common memory system, another approach is to use a network to join together more conventional systems into what is termed a *distributed system*.



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- Access to a shared resource increases computation speed, functionality, data availability, and reliability.



Multiprocessor Systems; Distributed Systems - Loosely coupled systems II

- **Multicomputers.** They do not share memory and clock. May be either client-server or peer-to-peer systems.

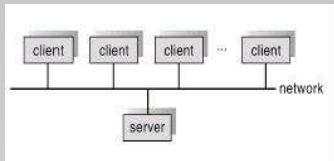
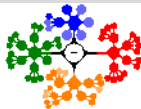


Figure: Left: Client-Server. Right: An example of a computer cluster – this is a Silicon Graphics Cluster-SGI.



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- Many of today's systems act as **server systems** to satisfy requests generated by **client systems**. This form of specialized distributed system, called client-server system, has the general structure depicted in Fig. 9left.

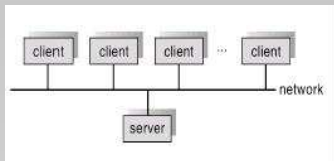
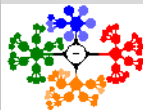


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- A server running a database that responds to client requests for data is an example of such a system.

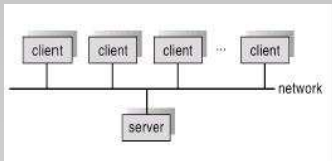
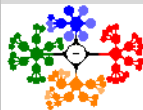


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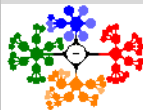
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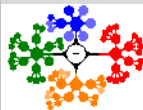
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- A distributed OS provides a less autonomous environment: The different OSs communicate closely enough to provide the illusion that only a single OS controls the network.



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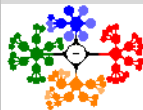
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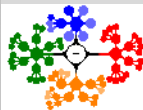
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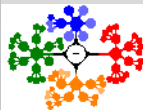
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 - *soft* real-time (deal with failure to react in time), the real-time system can satisfy its performance criteria by running any critical task at a higher priority (of CPU access).
 - Useful in applications (multimedia, virtual reality) requiring advanced operating-system features.



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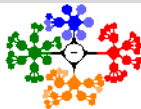
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- Handheld systems include personal digital assistants (PDAs), such as Palm and Pocket-PCs, and cellular telephones, many of which use special-purpose embedded OSs.



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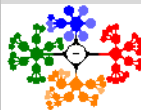
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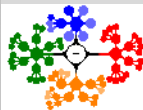
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- **Generally, the limitations in the functionality of PDAs are balanced by their convenience and portability.**



Operating-System Operations

- Modern OSs are **interrupt driven**.



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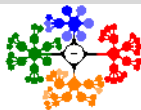
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- With sharing, many processes could be adversely affected by a bug in one program. For example, if a process gets stuck in an infinite loop, this loop could prevent the correct operation of many other processes.
- **More subtle errors can occur in a multiprogramming system, where one erroneous program might modify another program, the data of another program, or even the OS itself.**



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 - *CPU control*, timer (alarm clock), context switch; so user programs can only read the time of day, and can only have as much CPU time as the OS allocates.
- The dual mode of operation provides us with the means for protecting the OS from errant users-and errant users from one another.



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- If an attempt is made to execute a **privileged instruction** in user mode, the hardware does not execute the instruction but rather treats it as illegal and traps it to the OS.

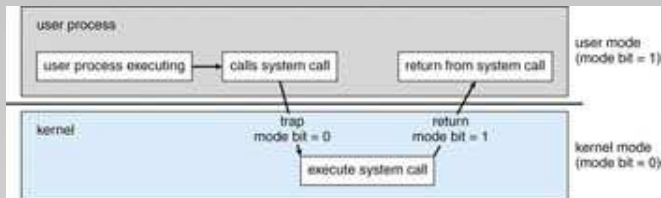
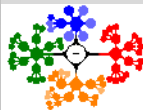


Figure: Transition from user to kernel mode.



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- When a user application requests a service from the OS (via a system call, treated by the hardware as a software interrupt), it must transition from user to kernel mode to fulfil the request (see Fig. 10).

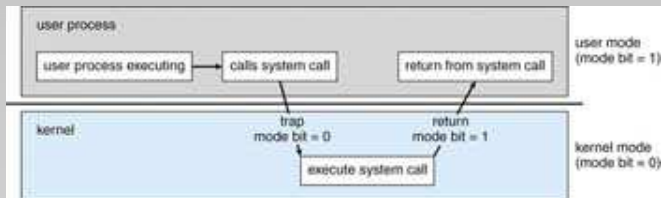
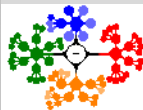


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- **Control passes through the interrupt vector to a service routine in the OS, and the mode bit is set to kernel mode.**

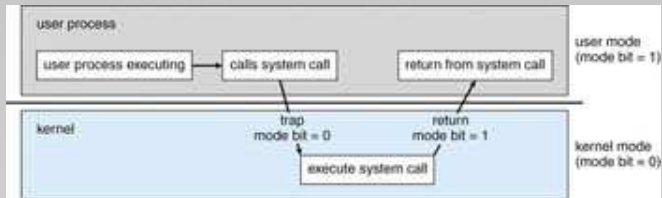
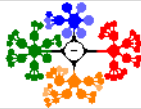


Figure: Transition from user to kernel mode.



- We must ensure that the OS maintains control over the CPU. We must prevent a user program from getting stuck in an infinite loop or not calling system services and never returning control to the OS.



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- We must ensure that the OS maintains control over the CPU. We must prevent a user program from getting stuck in an infinite loop or not calling system services and never returning control to the OS.
- To accomplish this goal, we can use a **timer**. A timer can be set to interrupt the computer after a specified period.



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- Thus, we can use the timer to prevent a user program from running too long. A simple technique is to initialize a counter with the amount of time that a program is allowed to run.



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- Thus, we can use the timer to prevent a user program from running too long. A simple technique is to initialize a counter with the amount of time that a program is allowed to run.
- **When the counter becomes negative, the OS terminates the program for exceeding the assigned time limit.**



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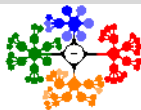
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- All these information about each process is stored in a table called the **process table**, which is an array (or linked list) of structures, one for each process currently in existence.



- if a process can create one or more other processes (referred to as **child processes**) and these processes in turn can create child processes, we quickly arrive at the process tree structure of Fig. 11.

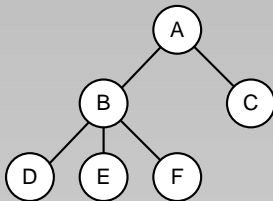
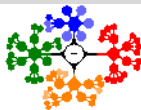


Figure: A process tree. Process A created two child processes, B and C. Process B created three child processes, D, E, and F.



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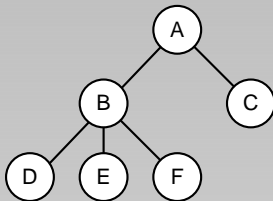
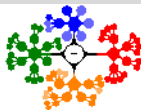


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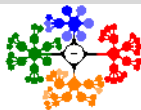
- Related processes that are cooperating to get some job done often need to communicate with one another and synchronize their activities. This communication is called **interprocess communication (IPC)**.



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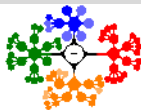
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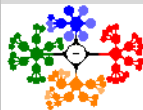
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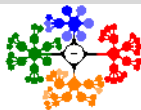
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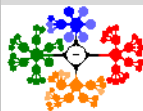


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- A major function of the OS is to hide the peculiarities of the disks and other I/O devices and present the user/programmer with a nice, clean abstract model of device-independent files.



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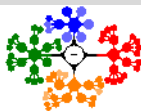
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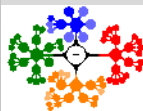
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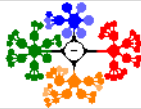
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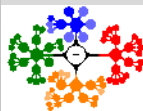
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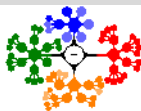
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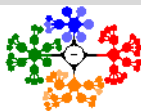
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- The OS is responsible for the following activities in connection with file management:
 - Creating and deleting files,
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File-System Management II

- Another important concept in UNIX is the **special file**. Special files are provided in order to make I/O devices look like files.
- That way, they can be read and written using the same system calls as are used for reading and writing files.
 - **Block special files** are used to model devices that consist of a collection of randomly addressable blocks, such as disks.
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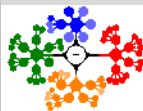
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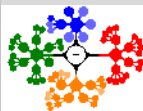
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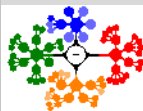
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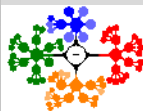
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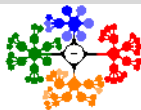
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 - Disk scheduling,
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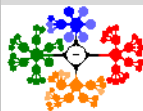
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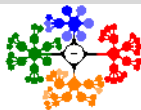
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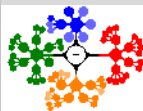
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 - **A general device-driver interface, that is, applies to many or all I/O devices equally well.**

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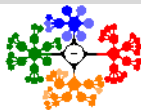
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 - **Drivers for specific hardware devices.**

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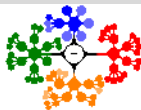
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- The I/O subsystem consists of several components:
 - A memory-management component that includes buffering, caching, and spooling,
 - A general device-driver interface, that is, applies to many or all I/O devices equally well.
 - Drivers for specific hardware devices.
- Only the device driver knows the peculiarities of the specific device to which it is assigned.

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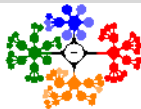
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Protection I

- **File Protection.** It is up to the OS to manage the system security so that files are only accessible to authorized users.



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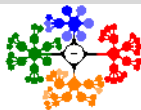
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 - A timer will also be under OS control to manage CPU time allocation to programs competing for resources.



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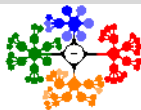
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- One approach to implementing resource allocation is to have at least two modes of CPU operation (see 1)



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